

Equity Advisors of Kentucky

Form ADV Part 2B  
“Brochure Supplement”  
Dated 3/26/2020

# Equity Advisors of Kentucky

Form ADV Part 2B – 3/26/2020

## Item 1

A.

**Eric Felty, CFP, CPA**

Equity Advisors of Kentucky, Inc.

Brochure Supplement

Dated 2/29/2020

Contact: Brian N Donhoff, Compliance Officer

3060 WADDY ROAD

WADDY, KY 40076

(502) 829-9244

B. This Brochure Supplement provides information about Eric Felty that supplements the Equity Advisors of Kentucky, Inc. ADV Brochure. You should have received a copy of that Brochure. Please contact Brian Donhoff, Compliance Officer, if you did not receive the Brochure or if you have any questions about the contents of this supplement.

## Item 2 - Education Background and Business Experience

Eric Felty is a graduate of Miami University (Oxford, Ohio) with a Bachelor of Science degree in Finance & Accounting and has his Masters of Business Administration (MBA) with a Finance concentration from Ohio University (Athens, Ohio). Mr. Felty is a Certified Financial Planner (CFP), and a Certified Public Accountant (CPA) currently licensed in the State of Ohio. Additionally Mr. Felty is an Enrolled Agent as authorized by the Internal Revenue Service.

Over the past 5 years Mr. Felty functioned as a CPA-Tax Accountant with Deloitte, a Financial Consultant with JP Morgan Chase Investments, Performance Analyst at Morgan Stanley, and an Investment Advisor and Tax Manager for Equity Advisors of Kentucky (May 2018)

## Item 3 – Disciplinary Information

None

## Item 4 – Other Business Activities

None

## Item 5 – Additional Compensation

None

## Item 6 – Supervision

Equity Advisors of Kentucky, Inc. 3060 Waddy Road, Waddy, KY 40076  
Tel. (502) 829-9244 FAX (502) 681-9971

# Equity Advisors of Kentucky

Eric Felty is a Financial Planner & Investment Manager for Equity Advisors of Kentucky. His activities are supervised by Brian Guilliom, President, Managing Partner and Chief Compliance Officer (CCO). Complete adherence to the Firm's compliance manual and the Code of Ethics is required by all employees. As noted below, each aspect of Eric's work is periodically audited by the CCO to ensure compliance. This includes, but is not limited to, the following items:

- Financial Plans, Investment Policy Statements and client recommendations
- Portfolio reports and trade records
- Client meetings and on-site visits
- Cash movements from custodian including deposits and withdrawals

Supervisor's contact information: Brian Guilliom, President and Managing Partner ♦ PHONE: (502) 829-9244 ♦ Email: [briang@ea-ky.com](mailto:briang@ea-ky.com)

# Equity Advisors of Kentucky

Form ADV Part 2B – 3/26/2020

## Item 1

A.

### Fon Rogers, CFP

Equity Advisors of Kentucky, Inc.

Brochure Supplement

Dated 2/28/2020

Contact: Brian N Donhoff, Compliance Officer

3060 WADDY ROAD

WADDY, KY 40076

(502) 829-9244

B. This Brochure Supplement provides information about Fon Rogers that supplements the Equity Advisors of Kentucky, Inc. ADV Brochure. You should have received a copy of that Brochure. Please contact Brian Donhoff, Compliance Officer, if you did not receive the Brochure or if you have any questions about the contents of this supplement.

## Item 2 - Education Background and Business Experience

Fon Rogers is a graduate of Washington & Lee University (Lexington, VA) with a Bachelor of Science degree in Physics-Engineering. Mr. Rogers is a Certified Financial Planner (CFP).

Since October 2014, Mr. Rogers has worked for Equity Advisors of Kentucky as a Financial Planner.

## Item 3 – Disciplinary Information

None

## Item 4 – Other Business Activities

None

## Item 5 – Additional Compensation

None

## Item 6 – Supervision

Fon Rogers is a Financial Planner & Investment Manager for Equity Advisors of Kentucky. His activities are supervised by Brian Guilliom, President, Managing Partner and Chief Compliance Officer (CCO). Complete adherence to the Firm's compliance manual and the Code of Ethics is required by all employees. As noted below, each aspect of Fon's work is periodically audited by the CCO to ensure compliance. This includes, but is not limited to, the following items:

- Financial Plans, Investment Policy Statements and client recommendations

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- Portfolio reports and trade records
- Client meetings and on-site visits
- Cash movements from custodian including deposits and withdrawals

Supervisor's contact information: Brian Guilliom, President and Managing Partner ♦ PHONE: (502) 829-9244 ♦ Email: [briang@ea-ky.com](mailto:briang@ea-ky.com)